



PATENT

Docket No. <u>3047-7434</u>

11000 U.S. PTO 09/973624 10/09/01

Date October 9, 2001

CERTIFICATION UNDER 37 CFR 1.10

•	I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being						
The state of the s	deposited with the United States Postal Service on this 10/9/200 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL743939032US addressed to: Box Patent Application, Assistant Commissioner of Patents, Washington, D.C. 20231.						
	Benjamin L. Volk, Jr. Benjamin L. Volk, Jr.						
	Benjamin L. Volk, Jr. (Type name of person mailing paper) (Signature of person mailing paper)						
	NOTE: Each paper or fee referred to as enclosed herein has the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 CFR 1.10(b).						
	Box Patent Application Assistant Commissioner of Patents. Washington, D.C. 20231						
	NEW APPLICATION TRANSMITTAL						
	Transmitted herewith for filing is the patent application of						
	Inventor(s): Rosen, Lee J.; Axelbaum, Richard L;; Sun, Zhen; DuFaux, Douglas P.						
	For: Tightly Agglomerated Non-Oxide Particles And Method For Producing The Same						
	Enclosed are:						
	1. Benefit of Prior U.S. Application (35 USC)						
	The new application being transmitted claims the benefit of a and enclosed is added page for new application transmittal where benefit of a prior U.S. application claimed.						
##	2. The Papers Required For Filing Under 37 CFR 1.53:						
	Pages of Specification Pages of Abstract Pages of Claims Sheets of Drawing						
	X formal informal						
	In addition to the above papers there is also attached:						
	Pages of an Amendment X Return Receipt Postcard						
	X Return Receipt Postcard Information Disclosure Statement with copies of references.						

3. Declaration	· oath					
	X Enclosed 4 pages X Newly executed (original or copy) Copy from a prior application (continuation/divisional with page 5 of 5 completed) Deletion of Inventor(s) (signed statement attached deleting inventor(s) of prior application) Not enclosed					
4. Inventorshi	Statement					
	The inventorship for all the claims in this application are:					
,	X the same					
	OR					
	are not the same and an explanation, including the ownership of the various claims at the time the last claimed invention was made, is submitted.					
5. Language						
	X English Non-English					
	A verified English translation of the					
[check applicable item(s)]						
	specification and claims					
	declaration					
	is attached.					
6. Assignment						
	X An assignment of the invention to Washington University					
	X is filed under separate cover sheet					
	was filed in the prior application					
	will follow					
7. Certified Co	y					
(Country)	(Application No.) (Filed)					
from which pr	rity is claimed					
	is attached					
	will follow					

8. Fee Calculation

CLAIMS AS FILED

	Number Filed	Provided with Basic Fee	Number Extra	Rate	Basic Fee \$740
Total Claims	79	20	59	X \$18.00	\$1,062.00
Independent Claims	9	3	6	X \$84.00	\$ 504.00
Multiple Dependent Claim(s), if any	0	0	0	X \$280.00	\$.00

-		Amendment canceling extra claims enclosed						
-		Amendment deleting multiple dependencies enclosed						
-		Fee for extra claims is not being paid at this time						
		Filing Fee Calculation	\$2,306.00					
9. Small	9. Small Entity Statement							
-	X	verified statement that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is attached.						
		Filing Fee Calculation (50% of above)	\$ <u>1,153.00</u>					
10. Fee Payment Being Made At This Time								
	<u>X</u>	Enclosed						
		X basic filing fee	\$_1,153.00					
		Total fees enclosed	\$_1,153.00					

11. Method of Payment of Fees

X check in the amount of \$ 1,153.00

12. Authorization to Charge Additional Fees

X The Commissioner is hereby authorized to charge the following additional fees which may be required to Account No. 18-1829;

X 37 CFR 1.16 (filing fees and presentation of extra claims)

X 37 CFR 1.17 (application processing fees)

27 CFR 1.18 (issue fee at or before Mailing of Notice of Allowance, pursuant to 37 CFR

1.311(b).

13. Instructions As To Overpayment

X credit Account No. 18-1829

14. Correspondence Address

Benjamin L. Volk, Jr.

Reg. No. 48,017

HOWELL & HAFERKAMP, L.C.

7733 Forsyth Boulevard

Suite 1400

St. Louis, Missouri 63105

(314) 727-5188